On the Skew-Bounded Minimum-Buffer Routing Tree Problem

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Abstract

Bounding the load capacitance at gate outputs is a standard element in today's electrical correctness methodologies for high-speed digital VLSI design. Bounds on load caps improve coupling noise immunity, reduce degradation of signal transition edges, and reduce delay uncertainty due to coupling noise [6]. For clock and test distribution, an additional design requirement is bounding the *buffer skew*, i.e., the difference between the maximum and the minimum number of buffers over all source-to-sink paths in the routing tree, since buffer skew is one of the main factors affecting delay skew [10]. In this paper we consider algorithms for buffering a given tree with the minimum number of buffers under given load cap and buffer skew constraints. We show that the greedy algorithm proposed by Tellez and Sarrafzadeh [10] is suboptimal for non-zero buffer skew bounds and give examples showing that no bottom-up greedy algorithm can achieve optimality. The main contribution of the paper is an optimal dynamic programming algorithm for the problem. Experiments on test cases extracted from recent industrial designs show that the dynamic programming algorithm has practical running time and saves up to 37.5% of the buffers inserted by the algorithm in [10].

I. Introduction

For high-speed digital VLSI design, bounding the load capacitance at gate outputs is a standard element in today's *electrical correctness* methodologies. Bounds on load caps improve coupling noise immunity, reduce degradation of signal transition edges, and reduce delay uncertainty due to coupling noise [6]. According to [9], commercial EDA methodologies and tools for signal integrity rely heavily on upper-bounding the capacitive loads on driver and buffer outputs (to prevent very long slew times on signal transitions). Essentially, the load capacitance bounds serve as *proxies* for bounds on input rise/fall times at buffers and sinks (Tellez and Sarrafzadeh [10] formally prove this equivalence using a simple linear model). We assume that such capacitive load bounds are inherent to any buffered routing tree design task. It is natural to propose a *minimum-buffer* formulation, so as to minimize changes made to the routing tree in meeting the load bounds.

Buffering to control slew times is also critical to *early timing analysis*. With lookup-table based modeling of gate delays and output transition times, very long input slews tend to be propagated inaccurately, resulting in extremely slow transitions. Static timing analyses that are based on the associated delay calculations will be utterly compromised, and useless for driving performance optimizations. Thus, early timing analysis must start with a buffering solution that bounds the capacitive loads of all buffers and of the source driver. Again, a *minimum-buffer* objective is appropriate.

Last, we observe that buffering of some large routing trees (e.g., for clock and test distribution) is further constrained with respect to the *buffer skew*, i.e., the difference between the maximum and the minimum number of buffers over all source-to-

This work was partially supported by Cadence Design Systems, Inc., the MARCO Gigascale Silicon Research Center, NSF Grant CCR-9988331, Award No. MM2-3018 of the MRDA and CRDF, and by the State of Georgia's Yamacraw Initiative.

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- ¹Such bounds also improve reliability with respect to hot-carrier oxide breakdown (hot electrons) [4], [5] and AC self-heating in interconnects [8], and facilitate technology migration since designs are more balanced.

sink paths in the routing tree [10]. This is because buffer skew reflects the actual buffered clock tree skew after routing. To accurately estimate tradeoffs between alternative clock tree topologies in the early stages of clock distribution design, the key problem is to bound the number of buffers needed by a given tree to satisfy given constraints on *both slew rate* (input rise/fall times) *and buffer skew*. Good bounds (or, good constructions that minimize the number of buffers while controlling the buffer skew) will enable accurate estimation and tradeoff of such system resources as power and area.

From the above context and assumptions, we obtain the following problem formulation:

Bounded Skew Buffering Problem (BSBP): Given a net N, per-unit length wire capacitance, sink and buffer input capacitances, capacitive load bounds for buffers and for the tree source, and an upper bound Δ on buffer skew, find a buffering of N that satisfies all bounds while using the minimum number of buffers.

The BSBP was first formulated by Tellez and Sarrafzadeh [10], who suggested a greedy algorithm with runtime O(n+k), where n is the number of sinks in the net N and k is the number of inserted buffers. In this paper, we make the following contributions:

- We give examples showing the sub-optimality of the Tellez-Sarrafzadeh algorithm for BSBP with non-zero buffer skew bounds, and further prove that no bottom-up greedy algorithm can achieve optimality (Section III).
- We give a non-trivial dynamic programming algorithm which guarantees optimum solutions for BSBP in $O(n(\Delta+1)^3NB^2)$ time, where n, Δ , and NB are the number of sinks, the given skew bound, and an upper-bound on the optimum number of inserted buffers, respectively (Section IV).
- We present experimental results on test cases extracted from recent industrial designs, showing that the dynamic programming algorithm has practical running time and inserts up to 37.5% fewer buffers compared to the algorithm in [10] (Section V).

II. NOTATIONS AND PROBLEM FORMULATION

We start with a few definitions and notations. Let N be a net consisting of a source r and a set of sinks S.

- A routing tree for the net N is a binary T = (r, V, E) rooted at r such that each sink of S is a leaf in T.
- A buffered routing tree for the net N is a tree T' = (r, V, E, B) such that T = (r, V, E) is a routing tree for N and B is a set of buffers located on the edges³ of T.
- For any $b \in B \cup \{r\}$, the *subtree driven by b*, D_b , also referred to as the *stage* of b [10], is the maximal subtree of T which is rooted at b and has no internal buffers. A buffered routing tree T = (r, V, E, B) has |B| + 1 stages including a *source stage* driven by the source.

Throughout the paper we will use the following notations:

n = number of sinks, i.e., n = |S|

 C_w = capacitance of a wire of unit length, which is assumed to be the same for all wires

 C_b = buffer input capacitance, assumed to be the same for all buffers⁴

²In this paper we restrict ourselves to binary routing trees. Every routing tree can be made binary by duplicating nodes and inserting zero-length edges.

 $^{^{3}}$ We assume that buffers have a single input and a single output and thus are inserted only on the edges of T.

⁴We assume that a single type of buffer is used. Using a single buffer type is a widely accepted design strategy since it reduces process variation sensitivity and facilitates technology migration.

 C_U = given upper-bound on the capacitive load of each buffer and of the source driver

 c_v = input capacitance of sink or buffer v

 $l_e =$ length of wire segment e

 c_e = capacitance of wire segment e, i.e., $c_e = C_w l_e$

 $T_v = \text{ subtree of } T \text{ rooted at } v$

 $c(T_v) = \text{lumped capacitance of } T_v, \text{ i.e., } c(T_v) = \sum_{e \in T_v} c_e + \sum_{v \in leaves(T_v)} c_v$

 $l(T_v) = \text{maximum number of buffers on a path from } v \text{ to a sink } s \in T_v \text{ (called longest path in the following)}$

 $s(T_v) = \text{minimum number of buffers on a path from } v \text{ to a sink } s \in T_v \text{ (called shortest path in the following)}$

 $\delta(T_v) = l(T_v) - s(T_v)$ (buffer skew of T_v)

Load Constraints

As noted in [10], bounded slew rate can be ensured by upper-bounding the lumped capacitive load of each buffer and of the source driver. The *lumped capacitive load* of $b \in B \cup \{r\}$ is given by

$$c(D_b) = \sum_{e \in D_b} c_e + \sum_{v \in leaves(D_b)} c_v \tag{1}$$

Thus, to ensure bounded slew rate we require that

$$c(D_b) \le C_U$$
 for every $b \in B \cup \{r\}$ (2)

Buffer Skew Constraints

Tellez and Sarrafzadeh [10] also note that the buffer skew is a significant factor affecting delay skew. Other sources of delay skew, such as propagation delays, have been well studied (heuristics and approximation algorithms for constructing *unbuffered* trees with zero- or bounded-skew can be found, e.g., in [3], [12]). To guarantee bounded delay skew after buffering we need to ensure that the difference in the number of buffers of the longest and shortest path from the root r to the sinks is at most a given buffer skew bound Δ , i.e.,

$$\delta(T) = l(T) - s(T) < \Delta \tag{3}$$

A buffering satisfying both the load constraint (2) and the buffer skew constraint (3) will be called *feasible*. In this paper we consider the problem of finding a feasible buffering with minimum number of buffers, formally defined as follows:

Bounded Skew Buffering Problem (BSBP)

Given: (1) net N with source r and set of sinks S, (2) binary routing tree T = (r, V, E) for N, (3) sink input capacitances c_s , $s \in S$, (4) buffer input capacitance C_b , (5) unit-length wire capacitance C_w , (6) load upper-bound C_U , and (7) buffer-skew bound Δ ,

Find: buffering T' = (r, V, E, B) of T such that:

- (a) $c(D_b) \leq C_U$ for every $b \in B \cup \{r\}$,
- (b) $\delta(T') \leq \Delta$, and
- (c) the total number of inserted buffers, |B|, is minimum subject to (a) and (b).

For every $v \in V$ the *branch* of v, denoted br(v), is $T_v \cup (v, parent(v))$ (where parent(r) = r). If X is a buffering of a subtree containing node v, we denote by X_v the buffering X restricted to the branch br(v).

For each buffering X of a branch br(v), we denote by nb(X), l(X), s(X), and cap(X) the total number of buffers, the number of buffers on the longest path, the number of buffers on the shortest path, and the residual capacitance (i.e., the capacitance of the stage driven by parent(v)), respectively. Let X and Y be two bufferings of the same branch B. We say that Y dominates X if $nb(Y) \le nb(X)$, $l(Y) \le l(X)$, $s(Y) \ge s(X)$, and $cap(Y) \le cap(X)$. Note that a buffering X of B can be replaced by a buffering Y that dominates it in any context (i.e., in any buffering of the entire routing tree) without increasing the number of buffers or creating load/skew violations.

III. WHY GREEDY DOES NOT WORK

The BSBP has been previously studied by Tellez and Sarrafzadeh [10]. In [10], a greedy algorithm is first presented for minimum buffering *without* buffer skew constraints and then the algorithm is modified to handle such constraints. Below we describe the two algorithms for the case of binary trees; the description in [10] is given for arbitrary trees.

When there are no constraints on buffer skew, the algorithm in [10] starts with an empty buffering $X = \emptyset$ and then performs the following two steps for each node u, in bottom-up order:

- 1. packNode(u): while $cap(X_v) + cap(X_w) > C_U$ (where v and w are the two children of u), add a buffer at the topmost position of the child branch with the largest residual capacitance (the greedy choice).
- 2. packEdge(u): while $cap(X_u) > C_U$, add a buffer on the edge (u, parent(u)), at the highest possible position still meeting the load cap bound C_U .

With buffer skew constraints, packEdge remains the same while the modified packNode-BS(u) consists of the following four steps:

- 1. Balance T_u as follows. If $l(X_v) < l(X_w)$ then swap v and w. If $l(X_v) s(X_w) > \Delta$ then insert $l(X_v) s(X_w) \Delta$ buffers at the topmost position of br(w). Exit if $cap(X_u) \le C_U$.
- 2. Perform packNode(u) excluding the child branches with maximum longest path, i.e., if $l(X_w) < l(X_v)$, then add a buffer at the topmost position in br(w). Exit if $cap(X_u) \le C_U$.
- 3. Insert buffers at the topmost position of all child branches with shortest path equal to $l(u) \Delta$ (in order to maintain buffer skew at most Δ when we insert buffers on the longest paths in the next step). Exit if $cap(X_u) \leq C_U$.
- 4. Perform packNode(u) considering only child branches with maximum longest path, i.e., longest path equal to $s(u) + \Delta 1$. The modified greedy algorithm finds the optimum solution of any given tree when the skew bound Δ is zero. However, contrary to the claim made in [10], the modified greedy algorithm may give suboptimal solutions for $\Delta \geq 1$. There are several reasons for its sub-optimality. One reason is that child branches with maximum longest path are considered for buffering *after* considering the other branches, regardless of their residual capacitance. This may cause the algorithm to return a suboptimal solution, e.g., when the skew bound Δ is so large that the buffer skew constraint never becomes tight (in this case the optimum is found by always choosing the branch with the largest residual capacitance in packNode).
- Fig. 1 shows a small instance for which the Tellez-Sarrafzadeh algorithm fails to find the optimal buffering. In this instance we have $\Delta = 1$, $C_w = C_b = 0$, and sink input capacitances are given by $c_u = C_U$ and $c_x = c_v = \frac{3}{4}C_U$. Fig. 1(a) shows the suboptimal solution computed by the greedy algorithm while Fig. 1(b) shows one of the optimal solutions. This instance points to a more basic reason for the sub-optimality of the modified greedy algorithm: the optimum buffering of a given tree may be suboptimal when restricted to subtrees.

A natural question prompted by the example in Fig. 1 is whether or not there exists a bottom-up algorithm that computes a *fixed* number of solutions for each branch and still guarantees global optimality. Below, we give two series of examples showing that the answer to this question is negative.

Claim 1: To guarantee optimality, every bottom-up buffering algorithm may need to compute branch bufferings with m, m + 1, ..., m + k buffers respectively, where m is the minimum number of buffers for the branch, and k is arbitrarily large.

Claim 1 follows from the example in Fig. 2, in which $\Delta = 1$ and $C_w = C_b = 0$. Each pair of sibling leaves contains a "u" leaf and a "v" leaf, with $c_u = C_U$ and $C_U/(2^{d-2}+1) < c_v < C_U/2^{d-2}$, where d is the depth of T_a .

The minimum number of buffers for each of the two branches into a is 2^{d-2} , since buffers are only required by the "u" leaves. If we start with minimum number of buffers for both branches into a, we will have to insert a buffer right below a on one of them in order to meet the load constraints. This in turn triggers the insertion of a very large number of buffers upstream due to the skew constraint. The optimum overall solution is to insert buffers right above 2^{d-2} of the "v" leaves. This leads to buffering one of the branches into a with at least $\frac{3}{2}2^{d-2}$ buffers.

Claim 2: To guarantee optimality, every bottom-up buffering algorithm may need to compute branch bufferings with longest path equal to $l, l+1, \ldots, l+\Delta-1$, respectively, where l is the minimum longest path.

Claim 2 follows from the example in Fig. 3, in which there are $n = \Delta$ "u" leaves, each with input capacitance $c_u = C_U - 2\varepsilon$, and one additional "v" leaf, with input capacitance $c_v = 0$. We further assume that $C_b = 0$ and that the capacitance of every wire segment in the figure is equal to ε . The n bufferings shown in Fig. 3 have residual capacitance equal to $0, \varepsilon, \ldots, (n-1)\varepsilon$, and longest path length equal to $n, n-1, \ldots, 1$, respectively. None of these solutions can be dropped from consideration by an optimum algorithm since each of the n different tradeoffs between longest path length and residual capacitance may be needed upstream.

Indeed, let B_k be the kth buffering (counting from the top) in Fig. 3. B_k has residual capacitance equal to $(k-1)\varepsilon$ and length of longest path equal to n-k+1. Suppose the upstream topology consists of an edge with total capacitance $k(C_U - \varepsilon)$ connecting a to the source s, and an edge with total capacitance ε connecting to s a sink s with input capacitance s0. If s0 is used to buffer the subtree rooted at s0, then a feasible buffering is obtained by inserting s0 buffers between s1 and s2. On the other hand:

- If the subtree rooted at a is buffered using B_i , i > k, we will need one additional buffer in order to compensate for the larger residual capacitance of B_i .
- If the subtree rooted at a is buffered using B_i , i < k, we still need all k-1 buffers between s and a to satisfy load cap constraints. This gives a longest path of (n-i+1)+(k-1)>n, and thus k-i more buffers should be inserted on the edge (s,b) in order to satisfy the buffer skew constraint.

Thus, B_k is the only buffering from the list in Fig. 3 which can be extended to an optimum buffering under the above upstream topology.

IV. DYNAMIC PROGRAMMING ALGORITHM

In this section we use dynamic programming to solve the bounded skew buffering problem. The dynamic programming technique has been applied in the past to timing-driven buffer insertion (see e.g., [1], [7], [11]). Its application to BSBP

presents non-trivial challenges due to the specific buffer-skew constraint. In this section we first give an exponential time dynamic programming, then refine it to achieve polynomial time.

A. Exponential time dynamic programming

The basic observation enabling dynamic programming is that it suffices to consider *normalized* bufferings, i.e., bufferings in which no buffer can be moved higher (closer to the source) on the tree edge to which it belongs. Let NB be the number of buffers inserted in the input tree by the algorithm of Tellez and Sarrafzadeh [10] with skew-bound set to zero. Clearly, NB is an upper-bound on the number of buffers in any optimal buffering with buffer skew $\Delta > 0$. Thus, we can always guarantee an optimum buffering if we choose the best among the normalized bufferings with up to NB buffers. The exponential time dynamic programming algorithm, referred to as DP1, computes for each branch br(u), in bottom-up order, the set $\mathcal{L}(u)$ of all normalized bufferings with up to NB buffers.

For a sink u, $\mathcal{L}(u)$ consists of the normalized buffering Z of br(u) = (u, parent(u)) with minimum feasible number of buffers k, plus all bufferings obtained from Z by adding just below parent(u) 1,...,NB - k buffers, respectively. For a node u with children v and w, each buffering of $\mathcal{L}(u)$ is the union of a buffering $X \in \mathcal{L}(v)$, a buffering $Y \in \mathcal{L}(w)$, and a buffering of the edge (u, parent(u)). Each pair of bufferings (X, Y) is combined with the buffering of (u, parent(u)) with minimum feasible number of buffers k, as well as all bufferings having between 1 and NB - k - nb(X) - nb(Y) extra buffers inserted just below parent(u). A pair of bufferings (X, Y) is dropped from consideration if

- (a) $cap(X) + cap(Y) > C_U$ (load cap violation),
- (b) nb(X) + nb(Y) + k > NB (too many buffers), or
- (c) $\max\{l(X) s(Y), l(Y) s(X)\} > \Delta$ (skew bound violation).

It is easy to prove by induction that $\mathcal{L}(u)$ contains all normalized bufferings of br(u) with up to NB buffers. Thus, by returning a buffering with minimum number of buffers from $\mathcal{L}(r)$, DP1 guarantees optimality. The main drawback of DP1 is that, in the worst case, the size of $\mathcal{L}(u)$'s, and hence the runtime, grows exponentially.⁵

B. Polynomial time dynamic programming

In this section we describe a polynomial time refinement of DP1, referred to as DP2. In contrast to DP1, DP2 (see Fig. 5) does not add to $\mathcal{L}(u)$ bufferings of br(u) with more than one buffer right below parent(u). More precisely, for each branch br(u), DP2 adds to $\mathcal{L}(u)$ only *non-redundant* bufferings, where a buffering Y of br(u) is said to be *redundant* if there exists a normalized buffering X such that $cap(X) \leq cap(Y)$, nb(X) = nb(Y) - k, l(X) = l(Y) - k and s(X) = s(Y) - k, where $k \geq 1$.

For a sink u, $\mathcal{L}(u)$ consists of all non-redundant bufferings of br(u) = (u, parent(u)). There are at most two such non-redundant bufferings: the buffering Z of (u, parent(u)) with minimum feasible number of buffers, and, if $cap(Z) > C_b$, the buffering Z' obtained from Z by adding one buffer just below parent(u). Note that the buffering Z' is redundant when $cap(Z) \le C_b$ since then $cap(Z) \le cap(Z') = C_b$, nb(Z) = nb(Z') - 1, l(Z) = l(Z') - 1, and s(Z) = s(Z') - 1.

For a node u with children v and w, let X and Y be bufferings in $\mathcal{L}(v)$, respectively $\mathcal{L}(w)$. Since redundant bufferings are not explicitly kept as in DP1, DP2 may insert extra buffers at the top of either br(u) or br(w) when combining X and Y. Just as DP1, DP2 drops the pair (X,Y) from consideration when $cap(X) + cap(Y) > C_U$ or when combining the pair (X,Y) with

⁵ An upper-bound on the size of $\mathcal{L}(u)$ is $\sum_{n=0}^{NB} k^n = O(k^{NB})$, where k denotes the number of edges in br(u).

the minimum feasible buffering of the edge (u, parent(u)) results in more than NB buffers. If the skew bound for $X \cup Y$ is violated, instead of dropping the pair (X,Y) DP2 fixes the skew by inserting enough buffers at the top of the branch with fewest buffers on the shortest path. For example, when $l(X) - s(Y) > \Delta$ (see Fig. 4(a)) DP2 inserts $l(X) - s(Y) - \Delta$ buffers at the top of br(w) (see Fig. 4(b)). Furthermore, DP2 generates more bufferings by inserting extra buffers at the top of the branch with fewest buffers on the shortest path while neither the interval [s(X), l(X)] nor the interval [s(Y), l(Y)] is fully inside the other. For example, for a pair (X,Y) as in Fig. 4(b), extra buffers are inserted one by one at the top of br(w) until either shortest or longest paths on br(v) and br(w) become equal (see Fig. 4(c)). Each of these pairs of augumented bufferings of br(v) and br(w) is completed to (at most) two non-redundant bufferings of br(u) by inserting on the edge (u, parent(u)) the minimum feasible number of buffers, and (possibly) one extra buffer just below parent(u). Finally, DP2 refines the set L(u) by removing all dominated (Step 3(b)) and redundant bufferings (Step 3(c)).

Correctness of DP2 follows from the following:

Theorem 1: For each buffering Z of br(u), there exists buffering $Z' \in \mathcal{L}(u)$ and $k \ge 0$ such that Z is dominated by Z' with k buffers added at the top.

Proof: The proof is by induction on the depth of u. The claim is trivially true when u is a sink, i.e., a leaf of T. Assume that the lemma holds for the two children v and w of u. Let X and Y be the restrictions of Z to br(v) and br(w). By induction, there exist $X' \in \mathcal{L}(v)$, $Y' \in \mathcal{L}(w)$, and $i, j \geq 0$, such that X and Y are dominated by X' and Y' with i (respectively j) buffers added at the top of the respective branches. Additionally, we can assume that i and j are the minimum numbers of redundant buffers with the above property.

Let Z' be the buffering of br(u) obtained from Z by replacing X and Y by X' (respectively Y') with i (respectively j) buffers added at the top of br(v) (resp. br(w)). Clearly, Z' dominates Z. To complete the proof we need to show that Z' is added by DP2 to $\mathcal{L}(u)$. It is easy to see that this is true when i = j = 0. If both i and j are positive, then we can replace Z' with the buffering obtained by deleting $\min\{i,j\}$ redundant buffers from the top of each of the branches br(v) and br(w) and inserting $\min\{i,j\}$ redundant buffer at the top of br(u) (see Fig. 6). W.l.o.g., in the following we assume that i = 0 and j > 0.

If s(X') < s(Y') + j, then Z' can be replaced by the buffering Z'' obtained by removing $k = \min\{j, s(Y') + j - s(X')\}$ redundant buffers from the top of br(w), which dominates Z. Indeed, nb(Z'') = nb(Z) - k, $l(Z'') \le l(Z)$, and cap(Z'') = cap(Z) (because the removed buffers are redundant). Finally, s(Z'') = s(Z) since s(X') < s(Y') + j (see Fig. 7). If k = j, then Z'' is added by DP2 to L(u) when combining X' with Y' and the proof is complete. Otherwise, we may assume that the updated number j of redundant buffers satisfies

$$s(X') > s(Y') + j \tag{4}$$

Similarly, if l(X') < l(Y') + j, then Z' can be replaced by the buffering Z'' obtained by moving $k = \min\{j, l(Y') + j - l(X')\}$ redundant buffers from the top of br(w) to the top of br(u). Z'' dominates Z because nb(Z'') = nb(Z), l(Z'') = l(Z), $cap(Z'') = C_b \le cap(Z)$, and s(Z'') = s(Z) by (4) (see Fig. 8). Again, if k = j then Z'' is added by DP2 to $\mathcal{L}(u)$ when combining X' with

⁶The bufferings created in this way may be useful since they have smaller skew than $X \cup Y$. On the other hand, the bufferings obtained by continuing to insert buffers after one of the intervals [s(X), l(X)] and [s(Y), l(Y)] encloses the other are dominated by bufferings with these buffers inserted at the top of (u, parent(u)).

⁷This refinement is required since dominated or redundant solutions may be added to L(u) by combining different pairs (X,Y).

Y', and the proof is complete. Otherwise, we may assume that

$$l(X') \ge l(Y') + j \tag{5}$$

We now show that inequalities (4) and (5) imply that Z' is generated by Step 3(a) of DP2 when combining $X' \in \mathcal{L}(v)$ with $Y' \in \mathcal{L}(w)$. First, note that $j \ge t = \max\{0, l(X) - s(Y) - \Delta\}$ since Z' is feasible and thus $l(X') - s(Y') - j \le \Delta$. Finally, Step 3a(*) of DP2 inserts j buffers at the top of br(w), since, by (4) and (5), the intervals [s(X'), l(X')] and [s(Y') + j, l(Y') + j] are not strictly containing one another.

Finally, the theorem follows from the fact that only dominated or redundant bufferings are deleted in Steps 3(b) and 3(c) of DP2. Indeed, if Z' is deleted, then there exists a buffering $W \in \mathcal{L}(u)$ and $k \ge 2$ such that nb(Z') = nb(W) + k, l(Z') = l(W) + k, and s(Z') = s(W) + k. Since $nb(Z') \ge 2$ it follows that $cap(Z') \ge C_b$ and thus Z' is dominated by W with k buffers added at the top of br(u).

Lemma 1: For each node u of T, the set $\mathcal{L}(u)$ computed by DP2 contains at most $2(\Delta+1)NB$ bufferings.

Proof: Let us call a triple (nb,l,s) of integers represented in $\mathcal{L}(u)$ if there exists a buffering $X \in \mathcal{L}(u)$ such that nb(X) = nb, l(X) = l, and s(X) = s. Since dominated bufferings are removed in Step 3(b), any triple of parameters (nb,l,s) can be represented at most once by the bufferings surviving in $\mathcal{L}(u)$ (by a buffering with smallest possible residual capacitance). We will show that no more than $2(\Delta+1)NB$ triples (nb,l,s) can be represented. Indeed, consider all triples (nb,l,s) with $l-s=\delta$ and nb-l=m, i.e., triples of the form $(nb,nb-m,nb-m-\delta)$. For every fixed δ and m, there are at most two values of nb for which $(nb,nb-m,nb-m-\delta)$ will survive the deletions in Steps 3(c) of DP2. The lemma follows since all bufferings generated by the algorithm have $0 \le \delta \le \Delta$ and $0 \le m < NB$.

Theorem 2: DP2 returns the optimum buffering in time $O(n(\Delta+1)^3NB^2)$.

Proof: The running time follows by observing that, for each of the n-1 non-sink nodes, DP2 needs $O((\Delta+1)^3NB^2)$ time to compute the set $\mathcal{L}(u)$. Indeed, the time needed by Step 3(a) is $O((\Delta+1)\cdot |\mathcal{L}(v)|\cdot |\mathcal{L}(w)|)$, where v and w are the two children of u. Lemma 1 implies that at the end of Step 3(a) the size of $\mathcal{L}(u)$ is $M = 4(\Delta+1)^3NB^2$. To complete the proof we need to show that Steps 3(b) and 3(c) can be implemented in O(M) time. This is done as follows:

- 1. For each buffering X compute m(X) = nb(X) l(X) and distribute X's into NB buckets each containing bufferings with the same m;
- 2. Distribute all bufferings in each bucket between $\Delta + 1$ subbuckets each containing bufferings with the same skew $\delta \in \{0, 1, \dots, \Delta\}$.
- 3. In one linear traversal, extract from each subbucket two bufferings: a buffering with minimum number of buffers nb and, subject to this, minimum residual capacitance, plus, if it exists, a buffering with nb + 1 buffers and residual capacitance equal to C_b (all other bufferings are either dominated or redundant).

V. EXPERIMENTAL RESULTS

Both DP2 and the greedy algorithm of [10] have been implemented in C. Table I gives the results obtained by running the two algorithms on 6 testcases from [2]. In this set of experiments the initial tree was computed using the Greedy-DME algorithm of [3] with linear delay. The unit wire capacitance was $C_w = 0.177 fF/\mu m$ and buffer input capacitance

was $C_b = 37.5 fF$. The first column of Table I gives the total wirelength of the Greedy-DME tree (WL) and the minimum, maximum, and the total sink input capacitance for each instance (sink capacitances vary between 2.04 fF and 63.57 fF in these testcases). Reported runtimes are for a SUN Ultra 60 running SunOS 5.7.

The first observation is that, although slower than the greedy algorithm of [10] by a factor of up to $20\times$, DP2 has very practical runtime (even for the 12,000 sink testcase, DP2 finishes in less than two seconds). The results suggest that the worst-case bound in Theorem 2 is an overly pessimistic estimation of actual runtime. Indeed, in our experiments, the average size of $\mathcal{L}(u)$'s was always significantly smaller than the bound given in Lemma 1.

As expected, both algorithms insert the optimum number of buffers when a buffer skew bound of 0 is imposed. For non-zero skew bounds, DP2 inserts almost always strictly fewer buffers compared to the greedy algorithm of [10], with savings reaching as much as 37.5%. Table I also shows that a significant reduction in the number of inserted buffers can be achieved with a small increase in buffer skew, e.g., when going from zero buffer skew to a buffer skew of 1. For comparison, we have also included in the table a lower bound on the number of buffers, which is the minimum number of buffers needed to meet the load cap constraints while disregarding buffer skew constraints. This lower bound was computed using the linear time algorithm given in [2]. In all but one case, the lower bound is matched by the optimum buffering with $\Delta = 4$, and often it is matched with a buffer skew as small as 2.

The effectiveness of the buffer skew model was verified by SPICE simulation based on the 130nm ITRS Predictive Technology Beta Version device model. In these simulation buffers were formed as pairs of inverters. Interconnect wire segments were represented by a Π -model with 0.076Ω unit wire resistance and equal wire capacitance lumped at both ends of the segment. Each interconnect was driven by a ramped input signal with 150ps slew time under 1.5V supply voltage. Tables II and III show the maximum, minimum, and the skew of 50% SPICE insertion delay from the source to each sink for trees constructed using the Greedy-DME algorithm with linear, respectively Elmore, delay. As expected, the more accurate Elmore delay leads to much smaller skew values. Delay skews after buffering are relatively small, and can be further reduced by optimizations that do not affect the number of buffers, e.g., fine tuning of load buffers. Furthermore, the results on both types of trees exhibit a strong correlation between buffer skew and delay skew, thus justifying the use of the buffer skew model for early estimation of buffering resources.

VI. CONCLUSIONS AND FUTURE RESEARCH

In this paper we have addressed the problem of finding the minimum-buffered routing of a given tree under buffer load and skew constraints. We have shown that a greedy algorithm previously proposed for this problem in [10] may fail to find the optimum solution, and we have proposed an exact dynamic programming algorithm. Experimental results on test cases extracted from recent industrial designs show that the dynamic programming algorithm has practical running time and inserts up to 37.5% fewer buffers compared to the greedy algorithm of [10].

Our future research will address

- (i) multi-constraint formulations, in which, e.g., input capacitance and fanout must be upper-bounded simultaneously,
- (ii) minimum inverter insertion in a given tree subject to sink polarity constraints, in addition to inverter load and skew constraints, and
- (iii) simultaneous tree construction and buffering under given buffer load and skew constraints.

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LIST OF FOOTNOTES

Acknowledgment of financial support: This work was partially supported by Cadence Design Systems, Inc., the MARCO Gigascale Silicon Research Center, NSF Grant CCR-9988331, Award No. MM2-3018 of the MRDA and CRDF, and by the State of Georgia's Yamacraw Initiative.

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Footnote 1: Such bounds also improve reliability with respect to hot-carrier oxide breakdown (hot electrons) [4], [5] and AC self-heating in interconnects [8], and facilitate technology migration since designs are more balanced.

Footnote 2: In this paper we restrict ourselves to binary routing trees. Every routing tree can be made binary by duplicating nodes and inserting zero-length edges.

Footnote 3: We assume that buffers have a single input and a single output and thus are inserted only on the edges of T.

Footnote 4: We assume that a single type of buffer is used. Using a single buffer type is a widely accepted design strategy since it reduces process variation sensitivity and facilitates technology migration.

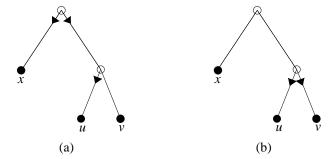
Footnote 5: An upper-bound on the size of $\mathcal{L}(u)$ is $\sum_{n=0}^{NB} k^n = O(k^{NB})$, where k denotes the number of edges in br(u).

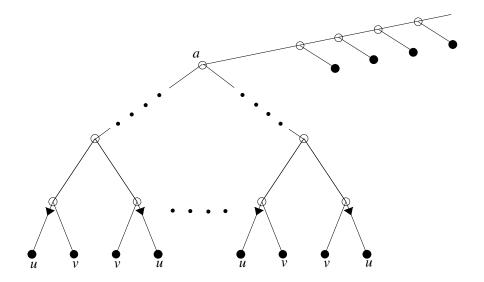
Footnote 6: The bufferings created in this way may be useful since they have smaller skew than $X \cup Y$. On the other hand, bufferings obtained by continuing to insert buffers after one of the intervals [s(X), l(X)] and [s(Y), l(Y)] encloses the other have *larger* skew, and are thus dominated.

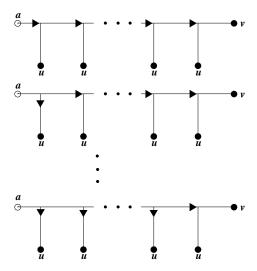
Footnote 7: This refinement is required since dominated or redundant solutions may be added to $\mathcal{L}(u)$ by combining different pairs (X,Y).

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- TABLE I. NUMBER OF BUFFERS (BOLDFACE) AND RUNTIME (IN SECONDS) FOR DP2 AND THE GREEDY ALGORITHM IN [10] FOR TREES CONSTRUCTED USING GREEDY-DME WITH LINEAR DELAY.
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- Table III. Min/Max SPICE insertion delay and skew (all in Picoseconds) for Greedy-DME (Elmore delay) unbuffered trees and their optimum bufferings with $\Delta \in \{0,1,2,3\}$.







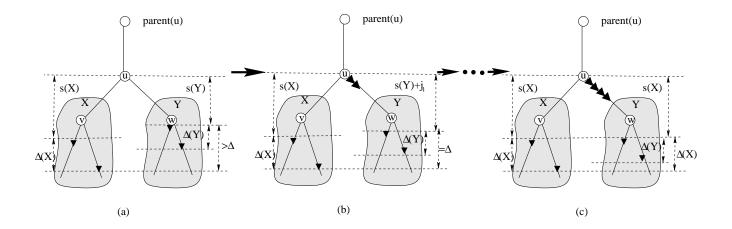


Fig. 4. (a) A pair (X,Y) of bufferings for which the skew is greater than Δ , i.e., $l(X) - s(Y) > \Delta$. (b) The skew is fixed by adding $t = l(X) - s(Y) - \Delta$ buffers at the top of br(w), after this addition $l(X) - s(Y) = \Delta$. (c) More useful skews are generated by adding buffers at the top of br(w). We stop when either shortest or longest paths on br(v) and br(w) become equal (with the former case represented here).

Input: Net N with source r and set of sinks S, binary routing tree T = (r, V, E) for N, input capacitances c_s , $s \in S$, buffer input capacitance C_b , unit-length wire capacitance C_w , load upper-bound C_U , buffer-skew bound Δ , and upper bound NB on the number of buffers in an optimal solution **Output:** Minimum size feasible buffering of T

```
1. For each u \in V, \mathcal{L}(u) \leftarrow \emptyset
2. For each sink s \in S do
      Add to L(s) the buffering Z of (s, parent(s)) with minimum feasible number of buffers
      If cap(Z) > C_b, add to \mathcal{L}(s) the buffering Z with one more buffer added just below parent (u)
3. For each non-sink node u with children v and w, in bottom-up order (postorder), do
     (a) For each X \in \mathcal{L}(v) and Y \in \mathcal{L}(w) s.t. cap(X) + cap(Y) \leq C_U do
         If l(X) \ge l(Y) then
            t = \max\{0, l(X) - s(Y) - \Delta\}
             Repeat forever
                Let W be the buffering of br(u) obtained from X \cup Y by
                   (*) adding t buffers at the top of br(w), and
                   (**) buffering the edge (u, parent(u)) with minimum feasible number of buffers
                If nb(W) \leq NB then add W to \mathcal{L}(s)
                If cap(W) > C_b and nb(W) + 1 < NB then add to \mathcal{L}(s) the buffering W with one more buffer added at the top of br(u)
                If nb(W) \ge NB or one of the intervals [s(X), l(X)] and [s(Y) + t, l(Y) + t] is inside the other, then exit the repeat loop
                Else t = t + 1
         If l(X) < l(Y), repeat above code in which X and br(v) reverse roles with Y and br(w)
     (b) Remove from L(u) all dominated bufferings
     (c) For each buffering W \in \mathcal{L}(u) remove from \mathcal{L}(u) all bufferings Z' with nb(Z') = nb(W) + k, l(Z') = l(W) + k, and s(Z') = s(W) + k, where
k \ge 2
4. Return the buffering X \in \mathcal{L}(r) with minimum nb(X)
```

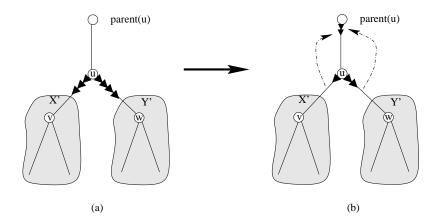


Fig. 6. (a) Redundant buffers on the top of both branches br(v) and br(w). (b) Buffering with redundant buffers moved up to the top of br(u).

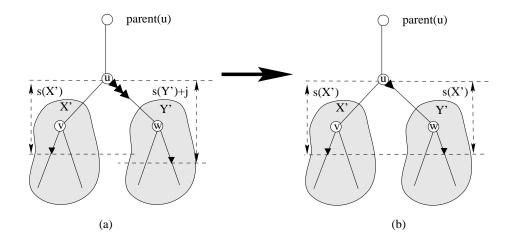


Fig. 7. (a) A pair of bufferings for which s(X') < s(Y') + j. (b) After removing excessive redundant buffers we get $s(X') \ge s(Y') + j$.

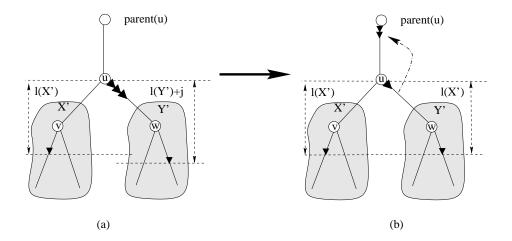


Fig. 8. (a) A pair of bufferings for which $s(X') \ge s(Y') + j$, but l(X') < l(Y') + j. (b) Buffering obtained after excessive redundant buffers are moved up from br(w) to the top of br(u).

Parameters (C_U (fF)	$\Delta =$	~		$\Delta = 1$			$\Delta = 2$			$\Delta = 3$			$\Delta = 4$		Lower
	(11)	Greedy	DP2	Greedy	DP2	Gain	Greedy	DP2	Gain	Greedy	DP2	Gain	Greedy	DP2	Gain	Bound
	500	34	34	31	26	16.1%	27	25	7.4%	27	25	7.4%	27	25	7.4%	25
#Sinks=330	500	0.01	0.02	0.00	0.03		0.01	0.05		0.00	0.07		0.00	0.09		0.00
WL=42mm 10	000	19	19	17		17.6%	15	12	20.0%	16	12	25.0%	16	12	25.0%	12
	000	0.01	0.01	0.00	0.03	14 20/	0.01	0.06	20.70/	0.00	0.07	20.70/	0.00	0.09	20.70/	0.01
$C_b = 37.50 \text{fF}$ 20	000	8 0.01	8 0.01	7 0.00	0.03	14.3%	7 0.01	5 0.05	28.6%	7 0.00	0.07	28.6%	0.00	5 0.09	28.6%	0.00
-		5	5	4	3	25.0%	3		0.0%	3	3	0.0%	3	3	0.0%	3
$\operatorname{Min/Max/\Sigma} c_s(fF)$ 40	000	0.00	0.01	0.00	0.03		0.01		0.0 / 0	0.01	0.07	0.070	_	0.08	0.070	0.00
2.04/27.75/3017 80	000	2	2	1	1	0.0%	1	1	0.0%	1	1	0.0%	1	1	0.0%	1
2.04/27.73/3017	000	0.00	0.01	0.00	0.03		0.01	0.04		0.01	0.07		0.00	0.09		0.00
#Sinks=830 5	500	101	101	91	87	4.4%	90	83	7.8%	89	81	9.0%	88	81	8.0%	81
"Bliks=030	300	0.02	0.03	0.01	0.04	0.007	0.01	0.08	4 - 40/	0.01	0.10	11 (0)	0.01	0.11	0.50/	0.01
WL=170mm 10	000	46	46	45	41	8.9%	45	38	15.6%	43	38 0.08	11.6%	42	38 0.10	9.5%	38
_		0.01 25	0.02 25	0.01	0.04 20	13.0%	0.01 24	0.06	20.8%	0.01	19	17.4%	0.01	19	17.4%	0.01 19
$C_b = 37.50 \text{fF}$ 20	000	0.01	0.02	0.01	0.05	13.0 /0	0.01	0.07	20.070	0.01	0.10	17.470	0.01	0.12	17.470	0.00
M:: /M:: /E: /E	000	11	11	9	9	0.0%	9		0.0%	10	9	10.0%	10	9	10.0%	9
$\operatorname{Min/Max/\Sigma} c_s(fF)$ 40	.000	0.01	0.02	0.01	0.04		0.01	0.07		0.01	0.09		0.01	0.10		0.01
4.25/4.25/3528 80	000	5	5	5	4	20.0%	4	4	0.0%	4	4	0.0%	4	4	0.0%	4
			0.02	0.02	0.05		0.01			0.01	0.09		0.01	0.11		0.01
#Sinks=1900 5	500	105	105	90	84	6.7%	87	79	9.2%	87	79	9.2%	85	78	8.2%	78
_		0.03 53	0.08 53	0.03 46	0.14 42	8.7%	0.03 43	0.22	7.0%	0.03 43	0.30	9.3%	0.03	0.37 39	11.4%	0.02 39
WL=76mm 10	000	0.02	0.05	0.02	0.12	0.7 70	0.03		7.0 70	0.03	0.29	9.3 70	0.02	0.35	11.470	0.02
		26	26	23	20	13.0%	22	19	13.6%	21	19	9.5%	21	19	9.5%	19
$C_b = 37.50 \text{fF}$ 20	.000	0.03	0.05	0.03	0.13		0.03	0.20		0.03	0.27		0.03	0.33		0.02
$Min/Max/\Sigma c_s(fF)$ 40	000	14	14	12	10	16.7%	11	9	18.2%	11	9	18.2%	11	9	18.2%	9
William Zes(II)	000	0.03	0.06	0.03	0.13	0.00/	0.04		0.007	0.03	0.27	0.00/	0.03	0.31	0.00/	0.02
7.97/7.97/15494 80	000	6	6	4	4	0.0%	0.03	-	0.0%	0.03	4	0.0%	4	0.22	0.0%	0.03
	<u> </u>	0.03	0.06	0.04	0.12	12.20/			11.20/		0.25	12.00/	0.04	0.32	1450/	0.03
#Sinks=2400	500	133 0.04	133 0.10	121 0.03	105 0.18	13.2%	115 0.03	102 0.29	11.3%	116 0.04	100 0.39	13.8%	116 0.03	99 0.49	14.7%	99 0.03
		62	62	54	50	7.4%	52	48	7.7%	52	47	9.6%	52	47	9.6%	47
WL=97mm 10	000	0.03	0.07	0.03	0.16		0.04			0.04	0.37		0.04	0.45		0.02
C_b =37.50fF 20	000	29	29	26	24	7.7%	26		11.5%	25	23	8.0%	25	23	8.0%	23
C _b =37.3011	000	0.03	0.08	0.03	0.16		0.04			0.04	0.35		0.03	0.44		0.02
$Min/Max/\Sigma c_s(fF)$ 40	000	16	16	15	12	20.0%	15	10	33.3%	14	10	28.6%	14	10	28.6%	10
		0.03	0.07	0.04	0.16	37.5%	0.04	0.25	28.6%	0.04	0.33	28.6%	0.04	0.42	28.6%	0.03 5
7.97/7.97/19423 80	000	0.04	0.08	0.05	0.17	37.570	0.04		20.070	0.05	0.34	20.0 / 0	0.04	0.41	20.070	0.03
	<u>u</u> 	266	266	238		11.3%	229	204	10.9%	226		12.4%	227		13.7%	196
#Sinks=2600	500	0.04	0.14	0.04	0.36	1110 / 0	0.04		2015 / 0	0.04	0.93	121170	0.03	1.12	1017,70	0.02
WL=150mm 10	000	125	125	117	104	11.1%	109	99	9.2%	106	98	7.5%	106	98	7.5%	97
WE-130mm	000	0.03	0.12	0.04	0.32		0.04		= 0.01	0.04	0.79		0.04	0.98		0.03
$C_b = 37.50 \text{fF}$ 20	000	64	64	55	50	9.1%	52	49	5.8%	52	48	7.7%	52	48	7.7%	48
_		0.04 34	0.12 34	0.04 30		13.3%	0.05 29	0.55	20.7%	28	0.78	21.4%	28	0.97	21.4%	0.02
$Min/Max/\Sigma c_s(fF)$ 40	000	0.04		0.04		13.3 /0		0.55	20.7 /0		0.78	21.4 /0		0.97	21.4 /0	0.03
2.06/62.57/45077	000	15	15	15		20.0%	13		15.4%	13		15.4%	13		15.4%	11
2.96/63.57/45077 80	000	0.04	0.12	0.05			0.05	0.52		0.05	0.73		0.05	0.90		0.04
#Sinles_12000 6	500	489	489	441	399	9.5%	424	375	11.6%	426	369	13.4%	423	366	13.5%	366
#Sinks=12000	500	0.18		0.19				1.20			1.62			1.95		0.13
WL=452mm 10	000	227	227	208		11.1%			14.4%			15.3%	200		15.0%	170
	-	0.19		0.21		11.00/		1.12	11 20/		1.52	12 20/		1.86	12 40/	0.15
$C_b = 37.50 \text{fF}$ 20	000	114 0.19	0.36	100 0.22	89	11.0%	98 0.24	87 1.11	11.2%	98 0.22	86 1.46	12.2%	97 0.23	1.80	12.4%	85 0.16
		53	53	48	45	6.2%	49		10.2%	49		10.2%	49		10.2%	44
$Min/Max/\Sigma c_s(fF)$ 40	.000	0.21			0.67	J. / U		1.02			1.37			1.70		0.18
4.55/4.55/54837 80	000	28	28	25		16.0%	25		20.0%	24		20.8%	24		20.8%	19
7.33/4.33/3463/ 8(000	0.22	0.36	0.25	0.68		0.26	1.06		0.26	1.41		0.25	1.72		0.20

Table I. Number of Buffers (Boldface) and Runtime (In Seconds) for DP2 and the Greedy algorithm IN [10] for trees constructed using Greedy-DME with linear delay.

#Sinks	$C_U(fF)$	Delay	$\Delta = 0$	$\Delta = 1$	$\Delta = 2$	$\Delta = 3$	Unbuffered
		Max	390	442	490	490	1943
330	500	Min	277	290	274	274	1590
		Skew	113	152	216	216	353
330		Max	483	494	644	644	1943
	1000	Min	336	273	316	316	1590
		Skew	147	220	327	327	353
830		Max	758	754	847	873	6706
	500	Min	648	599	589	575	4153
		Skew	110	154	258	298	2553
830		Max	773	841	911	989	6706
	1000	Min	618	598	601	589	4153
		Skew	154	242	309	400	2553

#Sinks	$C_U(fF)$	Delay	$\Delta = 0$	$\Delta = 1$	$\Delta = 2$	$\Delta = 3$	Unbuffered
		Max	377	408	433	495	1860
330	500	Min	308	275	234	228	1848
		Skew	69	132	198	266	12
330		Max	422	465	565	583	1860
	1000	Min	307	299	282	226	1848
		Skew	115	165	282	356	12
830		Max	785	806	843	919	6627
	500	Min	694	661	657	666	6567
		Skew	90	145	186	252	59
830		Max	825	884	973	973	6627
	1000	Min	657	689	723	723	6567
		Skew	167	195	249	249	59